

Bank Certificate¹

We hereby confirm that

..... (Total Amount)

of the **CHF 60,000,000 5.00 per cent. Convertible Bonds due 2022** (hereinafter: "**Bonds**") (Swiss Security number: 35395519; ISIN: CH0353955195) of **Santhera Pharmaceuticals Holding AG**, Pratteln, Switzerland

(i) were held with us since at least 5 March 2021 until the date of this Certificate² in the name and **for the account** of:

..... (Name)

..... (Address)

.....

.....

(ii) were not voted on at the Bondholder's Meeting held on March 8, 2021 (i.e. we did not submit votes with respect to these Bonds to SIX SIS and we did not issue a blocking certificate in regard to these Bonds in view of the Bondholders Meeting)

Name of bank:

.....

(Place and date)

.....

(Signature(s))

.....

¹ To be signed by the bank with which the bondholders holds his Bonds and submitted, together with the signed voting form (available on www.santhera.com/investors-and-media/investor-toolbox/share-bondholder-meetings) to: Homburger AG, Santhera Bondholders Meeting, Prime Tower, Hardstrasse 201, 8005 Zurich, Switzerland

² There is no need to block the Bonds in the account. In order to vote, it is sufficient, if the Bonds were in the account at the latest since 5 March 2021 and are still in the account on the date of this Bank Certificate.